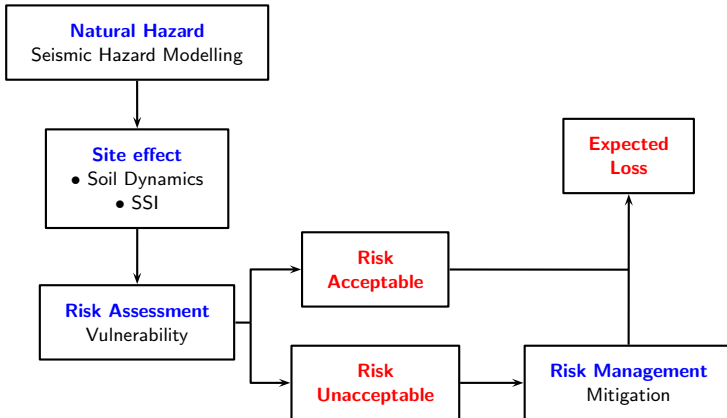


Coupled elasto-plastic dynamic response of dams

Fernando Lopez-Caballero
Marc Kham
Sami Kaloun

Earthquake loss estimation



Global approach

- ▶ Need for understanding mechanisms controlling induced damage in earthquake loss estimation (e.g. soil foundation, structures, dams, ...);
 - ▶ Improve and validate traditional approaches and evaluation methods;
 - ▶ Take into account the non linear soil behaviour;
 - ▶ Use of numerical methods in order to facilitate the comprehension of the global problem via parametric analyses;
-
- ▶ *Various uncertainties on the material properties, loading parameters and scenarios will be considered;*
 - ▶ *Probabilistic analyses as a complement of conventional deterministic analyses will be used.*

Outline

Recorded signals

ECP's numerical tool

Numerical model

Conclusions

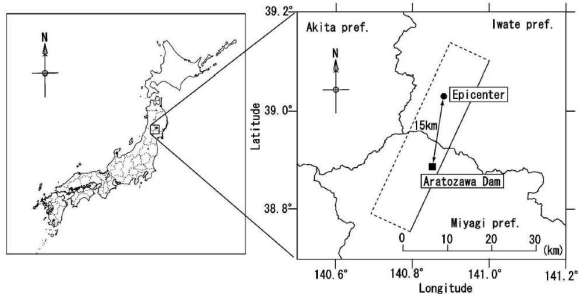
Recorded signals

ECP's numerical tool

Numerical model

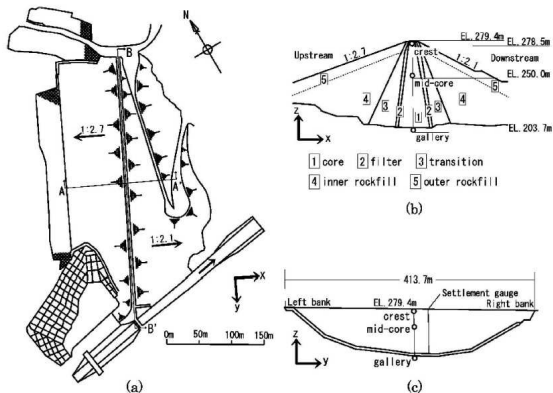
Conclusions

Aratozawa Dam



The 2008 Iwate-Miyagi Nairiku earthquake [Ohmachi and Taharz, 2011]

Aratozawa Dam



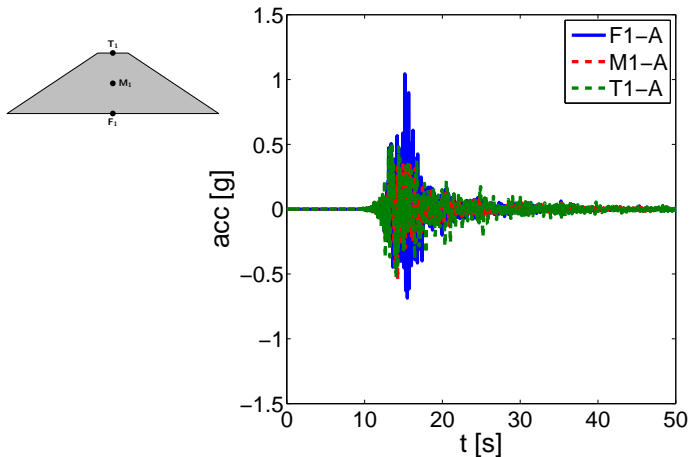
Plan and cross sections of the Aratozawa dam [Ohmachi and Taharz, 2011]

Recorded signals

Event	Year	Location	PGA [cm/s ²]
Southern Akita Pref	1996	F1-A	28
		T1-A	105
Northern Miyagi Pref	1996	F1-A	33
		T1-A	114
Northern Miyagi Pref	1996	F1-A	30
		T1-A	87
Northern Miyagi Pref	2003	F1-A	113.5
		T1-A	365
Southern Iwate Pref	2008	F1-A	1023.8
		T1-A	525.3
Far E Off Miyagi Pref	2011	F1-A	102
		T1-A	290.3

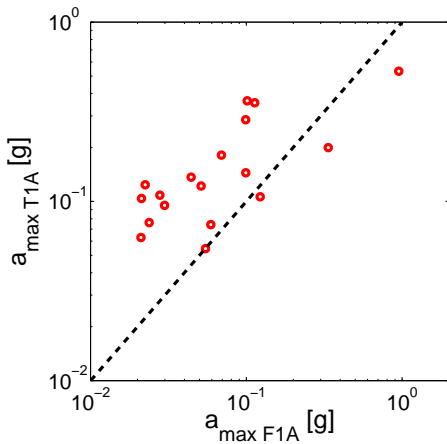
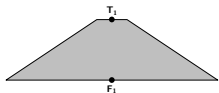
19 earthquake records tested

Recorded signals

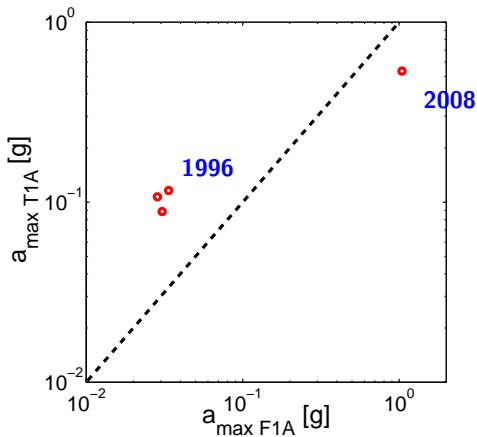
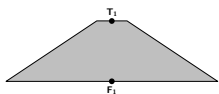


signals in A direction - 2008 Iwate-Miyagi Nairiku Earthquake

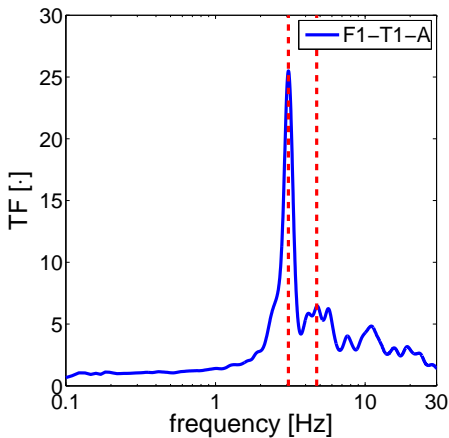
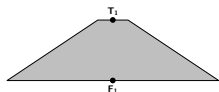
Recorded signals



Recorded signals

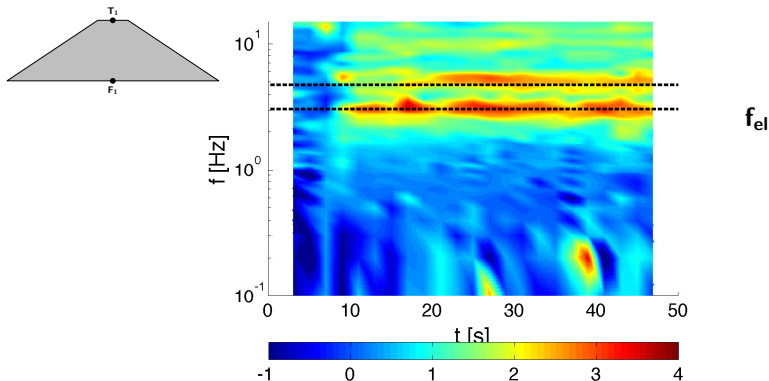


Recorded signals



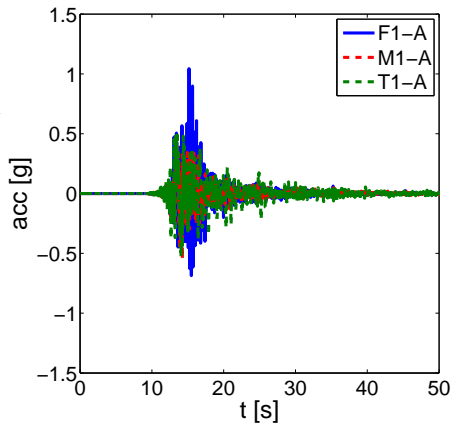
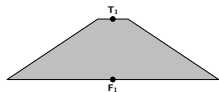
spectral ratio F1-T1- PGA = 30cm/s^2 - 1996 Northern Miyagi Pref
 $f = 3.06$ and 4.74 Hz

Recorded signals



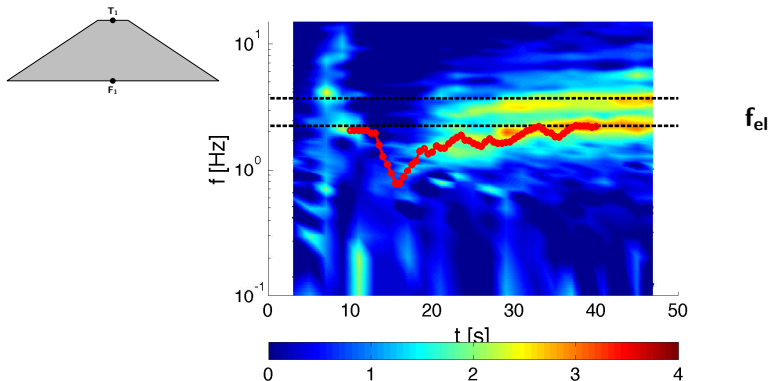
Short Time Fourier Transform (STFT) spectral ratio F1-T1
3.1 and 4.7 Hz - 1996 Northern Miyagi Pref.

Recorded signals



Short Time Fourier Transform (STFT) spectral ratio F1-T1
2008 Southern Iwate Pref

Recorded signals



Short Time Fourier Transform (STFT) spectral ratio F1-T1
(≈ 0.8) 2.2 and 3.7 Hz - 2008 Southern Iwate Pref

Recorded signals

Event	PGA [cm/s^2]	f_1 [Hz]	f_2 [Hz]
Southern Akita Pref	28	2.91	4.71
Northern Miyagi Pref	33	3.01	5.21
Northern Miyagi Pref	30	3.06	4.74
Southern Iwate Pref	1023.8	2.22*	3.70*

* Computed between 35-50s.

Outline

Recorded signals

ECP's numerical tool

Numerical model

Conclusions

The ECP's elastoplastic multi-mechanism model

[Aubry et al., 1982, Hujeux, 1985]

- ▶ The model is written in terms of effective stress,
- ▶ Coulomb type failure criterion,
- ▶ Critical state concept,
- ▶ Deviatoric primary yield surface of the k plane: $f_k(\sigma, \varepsilon_v^p, r_k) = q_k - \sin \phi'_{pp} \cdot p'_k \cdot F_k \cdot r_k$
 $F_k = 1 - b \ln \left(\frac{p'_k}{p_c} \right)$ and $p_c = p_{co} \exp(\beta \varepsilon_v^p)$
- ▶ Progressive friction mobilization with shear: $r_k = r_k^{el} + \frac{\int_0^t \overline{\varepsilon^p} dt}{a + \int_0^t \overline{\varepsilon^p} dt}$
 $a = a_1 + (a_2 - a_1) \alpha_k(r_k)$
- ▶ Roscoe's dilatancy law
- ▶ Isotropic yield surface: $f_{iso} = |p'| - d p_c r_{iso}$

Classification of the Elastoplastic model parameters

[Lopez-Caballero et al., 2003]

	Directly measured *	Not-Directly measured
Elastic	K_{ref}, G_{ref} n_e, p_{ref}	
Critical State and Plasticity	ϕ'_{pp}, β ρ_{co}, d	b
Flow Rule and Isotropic hardening	ψ	$a_1, a_2, \alpha_{\psi},$ m, c_{mon}
Threshold domains		r^{ela}, r^{hys} r^{mob}, r^{iso}

* From : Triaxial, Resonant column, CPT, oedometric tests among others

Outline

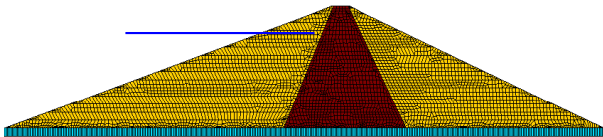
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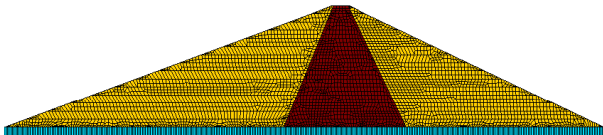
Numerical model



- ▶ Construction stage of the dam and seismic loading,
- ▶ Two approaches for Pore-water pressure generation in this study,*
 - ▶ Decoupled behaviour for the core and the upstream rockfill (**effective stress**),
 - ▶ **Coupled behaviour** for the core and the upstream rockfill,
- ▶ Dry condition is supposed for the downstream rockfill (total stress),

* details in [Montoya-Noguera and Lopez-Caballero, 2016]

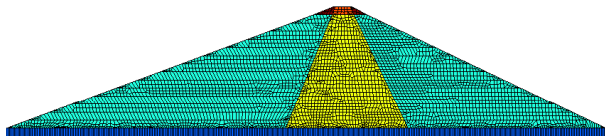
Numerical model



- ▶ Core → non-linear elasto plastic model (ECP model)
- ▶ Core filter → non-linear elasto plastic model (ECP model)
- ▶ Rockfill → non-linear elasto plastic model (ECP model)
- ▶ Bedrock → infinitely rigid with absorbing elements *

* details in [\[Montoya-Noguera, 2016\]](#)

Numerical model

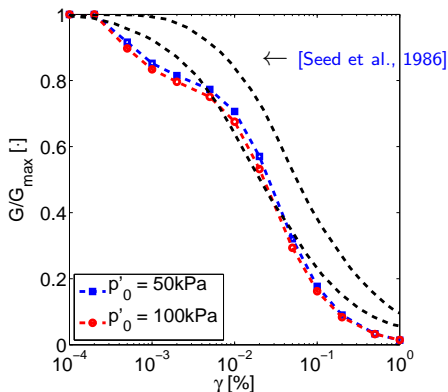


- ▶ Core $\rightarrow V_s = 220 \cdot z^{0.35}$ *
- ▶ Core filter $\rightarrow V_s = 220 \cdot z^{0.35}$ *
- ▶ Rockfill $\rightarrow V_s = 250 \cdot z^{0.2}$ *
- ▶ Bedrock \rightarrow infinitely rigid with absorbing elements

* adapted from [\[Ohmachi and Taharz, 2011\]](#)

Numerical model

Core and Core filter behaviour :

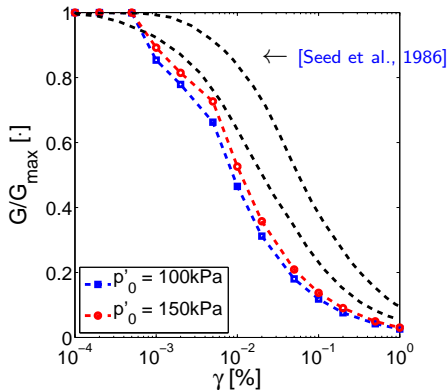


Simulated $G/G_{max} - \gamma$ curves

Remark : These curves are not an input of the model.

Numerical model

Rockfill behaviour :

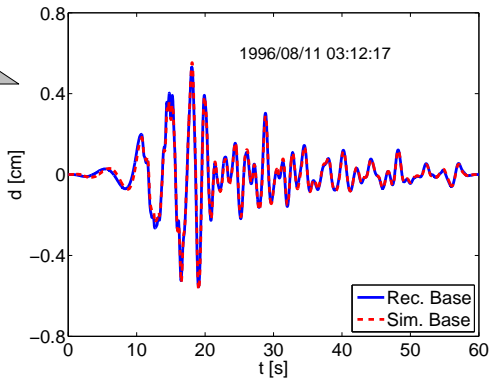
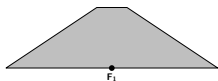


Simulated $G/G_{max} - \gamma$ curves

Remark : These curves are not an input of the model.

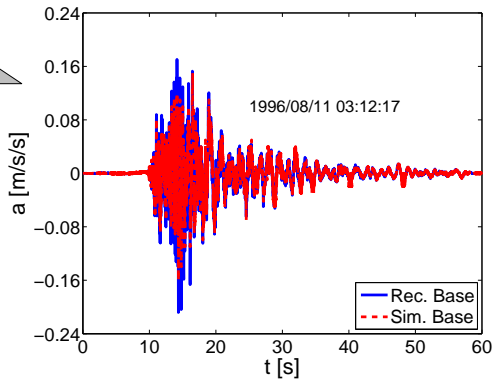
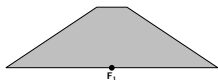
Numerical model

Southern Akita Pref - 1996



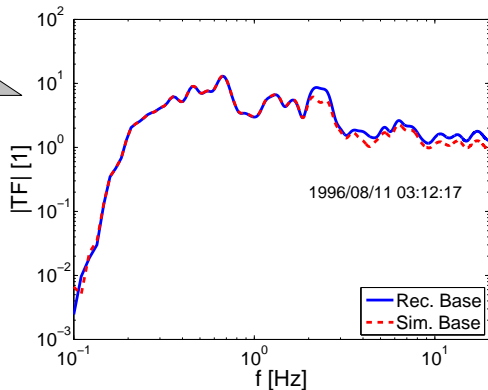
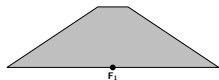
Numerical model

Southern Akita Pref - 1996



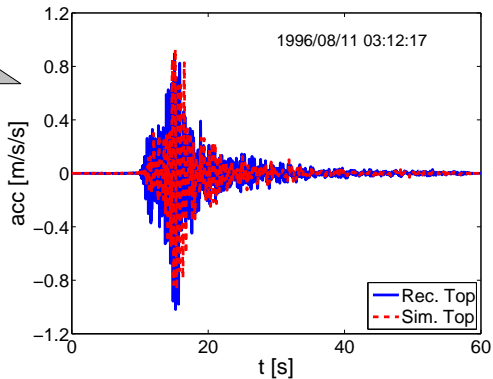
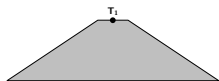
Numerical model

Southern Akita Pref - 1996



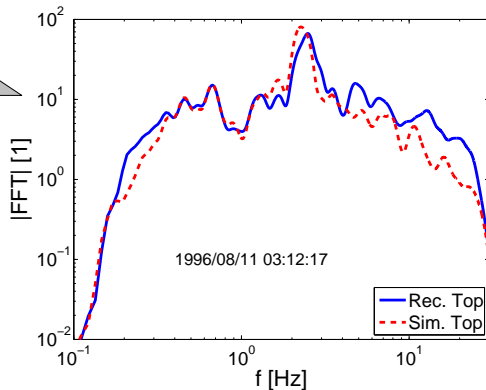
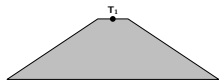
Numerical model

Southern Akita Pref - 1996



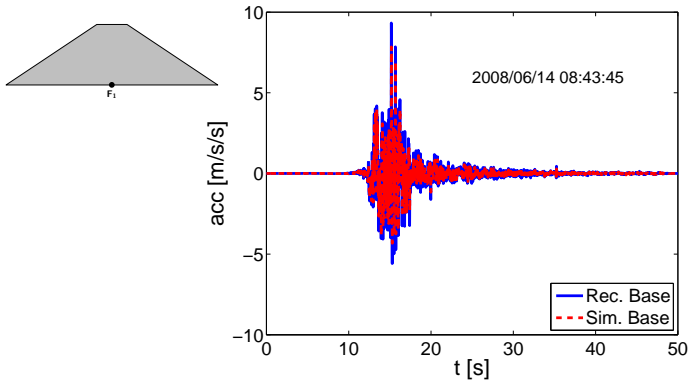
Numerical model

Southern Akita Pref - 1996



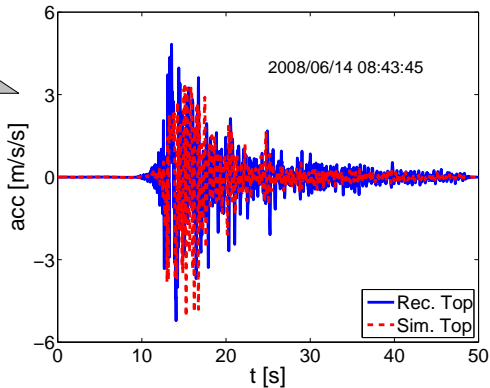
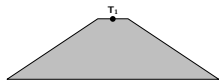
Numerical model

Southern Iwate Pref - 2008



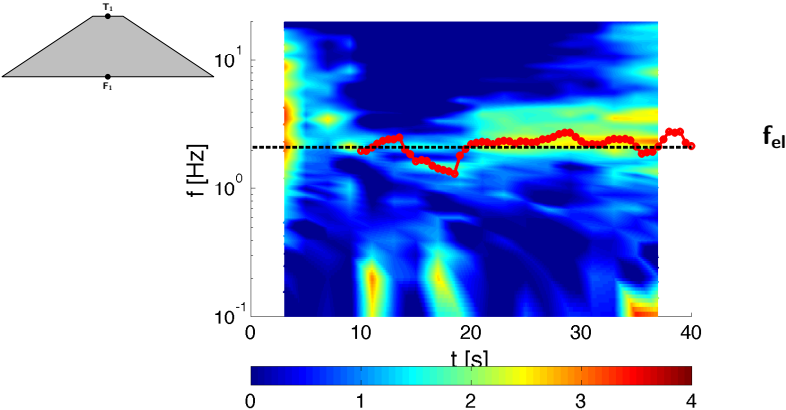
Numerical model

Southern Iwate Pref - 2008



Numerical model

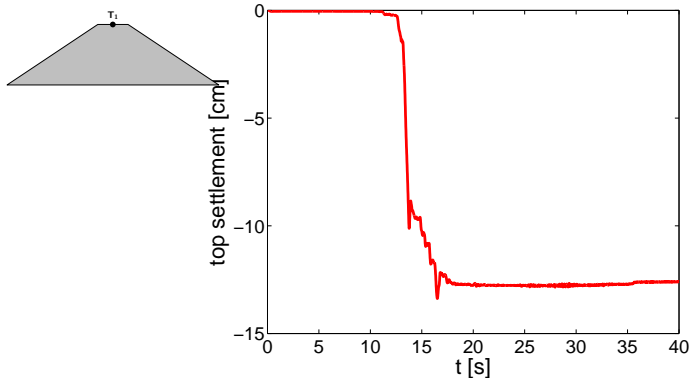
Southern Iwate Pref - 2008



STFT F1-T1- PGA = 1023.8cm/s²

Numerical model

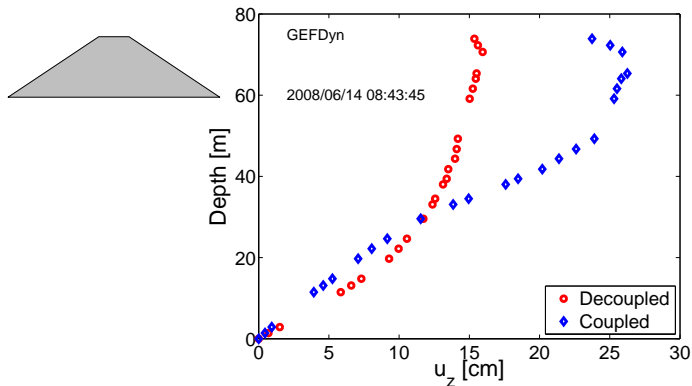
Southern Iwate Pref - 2008



Obtained co-seismic settlement, 13cm - Decoupled behaviour

Numerical model

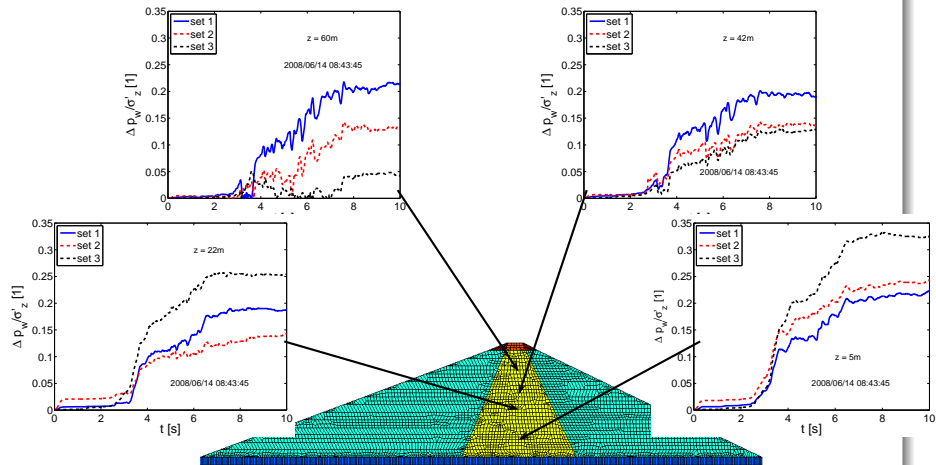
Southern Iwate Pref - 2008



Obtained co-seismic settlement - *GEFDyn*

Numerical model

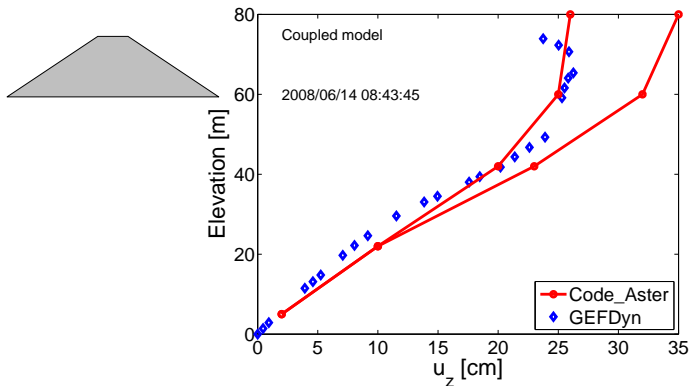
Southern Iwate Pref - 2008



Obtained $\Delta p_w / \sigma'_z$ - Coupled behaviour - Code_Aster

Numerical model

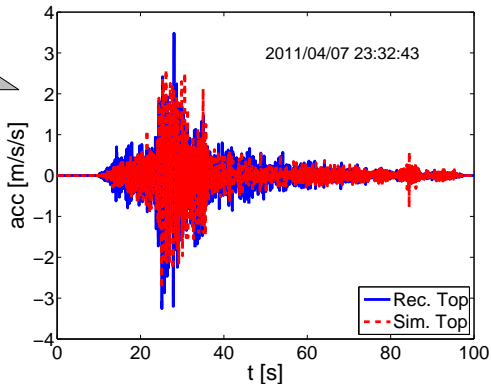
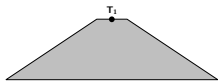
Southern Iwate Pref - 2008



Obtained co-seismic settlement

Numerical model

E Off Miyagi Pref - 2011



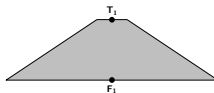
Anderson Criteria

Goodness of fit criteria and Frequency Bands

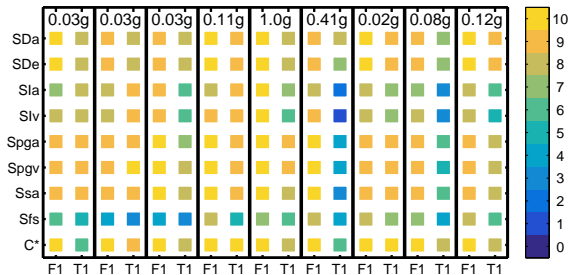
Number	Symbol	Similarity of:	Band	Frequency limits [Hz]
C1	SDa	Arias duration	B1	0.05 - 0.1
C2	SDe	Energy duration	B2	0.1 - 0.2
C3	SIa	Arias Intensity	B3	0.2 - 0.5
C4	SIv	Energy Integral	B4	0.5 - 1.0
C5	Spga	Peak Acceleration	B5	1.0 - 2.0
C6	Spgv	Peak Velocity	B6	2.0 - 5.0
C7	Spgd	Peak Displacement	B7	5.0 - 15.0
C8	Ssa	Response Spectra	B8	0.05 - 15.0
C9	Sfs	Fourier Spectra		
C10	C*	Cross Correlation		

$$C_i(p_1, p_2) = 10 \exp \left\{ - \left[\frac{(p_1 - p_2)}{\min(p_1, p_2)} \right]^2 \right\} \quad S = \frac{1}{8} \sum_{B=1}^8 \left(\frac{1}{10} \sum_{i=1}^{10} C_{i,B} \right)$$

Numerical model



Anderson criteria



Outline

Recorded signals

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Conclusions

- ▶ Used non-linear soil behaviour model is able to represent accurately the recorded behaviour of the dam in the large range of accelerations and frequencies.
- ▶ “*Half-space bedrock’s boundary condition*” allows to simulate the borehole condition found at the gallery level.
- ▶ The condition assumed to define the initial state of all materials could be used as a first approach to simulate the dam behaviour.
- ▶ The non-linear behaviour of the dam is concentrated principally at the base of the core material.

Thank you for your attention
Dōmo arigatō gozaimas[u]





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